

# Generalized Complex Spherical Harmonics, Frame Functions, and Gleason Theorem

Valter Moretti<sup>a</sup> and Davide Pastorello<sup>b</sup>

Department of Mathematics, University of Trento, via Sommarive 14, 38123 Povo (Trento), Italy.

<sup>a</sup> moretti@science.unitn.it      <sup>b</sup> pastorello@science.unitn.it

**Abstract.** Consider a finite dimensional complex Hilbert space  $\mathcal{H}$ , with  $\dim(\mathcal{H}) \geq 3$ , define  $\mathbb{S}(\mathcal{H}) := \{x \in \mathcal{H} \mid \|x\| = 1\}$ , and let  $\nu_{\mathcal{H}}$  be the unique regular Borel positive measure invariant under the action of the unitary operators in  $\mathcal{H}$ , with  $\nu_{\mathcal{H}}(\mathbb{S}(\mathcal{H})) = 1$ . We prove that if a complex frame function  $f : \mathbb{S}(\mathcal{H}) \rightarrow \mathbb{C}$  satisfies  $f \in \mathcal{L}^2(\mathbb{S}(\mathcal{H}), \nu_{\mathcal{H}})$ , then it verifies Gleason's statement: There is a unique linear operator  $A : \mathcal{H} \rightarrow \mathcal{H}$  such that  $f(u) = \langle u | Au \rangle$  for every  $u \in \mathbb{S}(\mathcal{H})$ .  $A$  is Hermitean when  $f$  is real. No boundedness requirement is thus assumed on  $f$  *a priori*.

## 1 Introduction

In the absence of superselection rules, the states of a quantum system described in the Hilbert space  $\mathcal{H}$  are defined as generalized probability measures  $\mu : \mathfrak{P}(\mathcal{H}) \rightarrow [0, 1]$  on the lattice  $\mathfrak{P}(\mathcal{H})$  of orthogonal projectors in  $\mathcal{H}$ . By definition  $\mu$  is required to verify (i)  $\mu(I) = 1$  and (ii)  $\mu(\sum_{k \in K} P_k) = \sum_{k \in K} \mu(P_k)$ , where  $\{P_k\}_{k \in K} \subset \mathfrak{P}(\mathcal{H})$ , with  $K$  finite or countable, is any set satisfying  $P_i P_j = 0$  for  $i \neq j$  and the sum in the right-hand side in (ii) is computed respect to the strong operator topology if  $K$  is infinite.

Normalized, positive trace-class operators, namely *density* or *statistical* operators, very familiar to physicists, define such measures. However, the complete characterization of those measures was established by Gleason [Gle57], with a milestone theorem whose proof is unexpectedly difficult.

**Theorem 1 (Gleason's theorem)** *Let  $\mathcal{H}$  be a (real or complex) separable Hilbert space with  $3 \leq \dim(\mathcal{H}) \leq +\infty$ . For every generalized probability measures  $\mu : \mathfrak{P}(\mathcal{H}) \rightarrow [0, 1]$ , there exist a unique positive, self-adjoint trace class operator  $T_{\mu}$ , with unit trace, such that:*

$$\mu(P) = \text{tr}(T_{\mu} P) \quad \forall P \in \mathfrak{P}(\mathcal{H}).$$

The key-tool exploited in Gleason's proof is the notion of *frame function* that will be the object of this paper.

**Definition 2** Let  $\mathcal{H}$  be a complex Hilbert space and  $\mathbb{S}(\mathcal{H}) := \{\psi \in \mathcal{H} \mid \|\psi\| = 1\}$ .  $f : \mathbb{S}(\mathcal{H}) \rightarrow \mathbb{C}$  is a **frame function** on  $\mathcal{H}$  if  $W_f \in \mathbb{C}$  exists, called **weight** of  $f$ , with:

$$\sum_{x \in N} f(x) = W_f \quad \text{for every Hilbertian basis } N \text{ of } \mathcal{H}. \quad (1)$$

(If  $\mathcal{H}$  is non-separable, the series is the integral with respect to the measure counting the points of  $N$ .)

With the hypotheses of Gleason's theorem, the restriction  $f_\mu$  of  $\mu$  to the set of the projectors on one-dimensional subspaces is a *real* and *bounded* frame function. It is known that on a real Hilbert space  $\mathcal{H}$  with  $\dim(\mathcal{H}) = 3$ , a frame function which is bounded (even from below only or only from above only) is continuous and can be uniquely represented as a quadratic form [Gle57, Dvu92]. That result is very difficult to be established and is the kernel of the original proof of the Gleason theorem. The last non-trivial step in Gleason's proof is passing from 3 real dimensions to any (generally complex) dimension, this is done exploiting Riesz theorem, establishing that there is a unique positive, self-adjoint trace-class operator  $T_\mu$  with  $\text{tr}(T_\mu) = 1$  such that  $f_\mu(x) = \langle x | T_\mu x \rangle$  for all  $x \in \mathbb{S}(\mathcal{H})$ . The final step is the easiest one: if  $P \in \mathfrak{P}(\mathcal{H})$ , there is a Hilbert basis  $N$  such that in the strong operator topology  $P = \sum_{z \in N_P} z \langle z | \cdot \rangle$  for some  $N_P \subset N$ . So that  $\mu(P) = \sum_{z \in N_P} f(z) = \text{tr}(PT_\mu)$ .

Frame functions are therefore remarkable tools to manipulate generalized measures. However, they are interesting on their own right [Dvu92]. An important difference, distinguishing the finite-dimensional case from the infinite-dimensional one, is that a frame function on an *infinite* dimensional Hilbert space has to be automatically bounded [Dvu92]. Whereas in the finite-dimensional case ( $\dim(\mathcal{H}) \geq 3$ ), as proved by Gudder and Sherstnev, there exist infinitely many unbounded frame functions [Dvu92]. The bounded ones are the only representable as quadratic forms.

In the rest of the paper we prove a proposition concerning sufficient conditions to assure that a frame function, on a complex finite-dimensional Hilbert space  $\mathcal{H}$ , with  $\dim(\mathcal{H}) \geq 3$ , is representable as a quadratic form without assuming the boundedness requirement *a priori*. Instead we treat the topic from another point of view. The sphere  $\mathbb{S}(\mathcal{H})$ , up to a multiplicative constant, admits a unique regular Borel measure invariant under the action of all unitary operators in  $\mathcal{H}$ . We prove that, for  $\dim(\mathcal{H}) \geq 3$ , a complex frame function  $f$  is representable as a quadratic form whenever it is Borel-measurable and belongs to  $\mathcal{L}^2(\mathbb{S}(\mathcal{H}), \nu_{\mathcal{H}})$ . In particular it holds when  $f \in \mathcal{L}^p(\mathbb{S}(\mathcal{H}), \nu_{\mathcal{H}})$  for some  $p \in [2, +\infty]$ . The proof is direct and relies upon the properties of the spaces of generalized complex spherical harmonics [Rud86] and on some results due to Watanabe [Wat00] on zonal harmonics, beyond standard facts on Hausdorff compact topological group representations (the classic Peter-Weyl theorem).

## 2 Generalized Complex Spherical Harmonics

Let us introduce a  $n$ -dimensional generalization of spherical harmonics defined on:

$$\mathbb{S}^{2n-1} := \{x \in \mathbb{C}^n \mid \|x\| = 1\}. \quad (2)$$

$\mathbb{S}^{2n-1} \subset \mathbb{R}^{2n}$  is, in fact, a  $2n - 1$ -dimensional real smooth manifold.

$\nu_n$  denotes the  $U(n)$ -left-invariant regular Borel measure on  $\mathbb{S}^{2n-1}$ , normalized to  $\nu_n(\mathbb{S}^{2n-1}) = 1$ , obtained from the two-sided Haar measure on  $U(n)$  on the homogeneous space given by the quotient  $U(n)/U(n-1) \equiv \mathbb{S}^{2n-1}$ . That measure exists and is unique as follows from general results by Mackey (e.g., see Chapter 4 of [BR00], noticing that both  $U(n)$  and  $U(n-1)$  are compact and thus unimodular).

**Lemma 3**  $\nu_n(A) > 0$  if  $A \neq \emptyset$  is an open subset of  $\mathbb{S}^{2n-1}$ .

*Proof.*  $\{gA\}_{g \in U(n)}$  is an open covering of  $\mathbb{S}^{2n-1}$ . Compactness implies that  $\mathbb{S}^{2n-1} = \cup_{k=1}^N g_k A$  for some finite  $N$ . If  $\nu_n(A) = 0$ , sub-additivity and  $U(n)$ -left-invariance would imply  $\nu_n(\mathbb{S}^{2n-1}) = 0$  that is false.  $\square$

As  $\nu_n$  is  $U(n)$ -left-invariant,

$$U(n) \ni g \rightarrow D_n(g) \quad \text{with } D_n(g)f := f \circ g^{-1} \text{ for } f \in L^2(\mathbb{S}^{2n-1}, d\nu_n) \quad (3)$$

defines a faithful unitary representation of  $U(n)$  on  $L^2(\mathbb{S}^{2n-1}, d\nu_n)$ .

**Lemma 4** For every  $n = 1, 2, \dots$  the unitary representation (3) is strongly continuous.

*Proof.* It is enough proving the continuity at  $g = I$ . If  $f : \mathbb{S}^{2n-1} \rightarrow \mathbb{C}$  is continuous,  $U(n) \times \mathbb{S}^{2n-1} \ni (g, u) \mapsto f(g^{-1}u)$  is jointly continuous and thus bounded by  $K < +\infty$  since the domain is compact. Exploiting Lebesgue dominated convergence theorem as  $|f \circ g^{-1}(u) - f(u)|^2 \leq K$  and the constant function  $K$  being integrable since the measure  $\nu_n$  is finite:

$$\|D_n(g)f - f\|_2^2 = \int_{\mathbb{S}^{2n-1}} |f \circ g^{-1} - f|^2 d\nu_n \rightarrow 0 \quad \text{as } g \rightarrow I,$$

If  $f$  is not continuous, due to Luzin's theorem, there is a sequence of continuous functions  $f_n$  converging to  $f$  in the norm of  $L^2(\mathbb{S}^{2n-1}, d\nu_n)$ . Therefore

$$\|f \circ g^{-1} - f\|_2 \leq \|f \circ g^{-1} - f_n \circ g^{-1}\|_2 + \|f_n \circ g^{-1} - f_n\|_2 + \|f_n - f\|_2.$$

If  $\epsilon > 0$ , there exists  $k$  with  $\|f \circ g^{-1} - f_k \circ g^{-1}\|_2 = \|f - f_k\|_2 < \epsilon/3$  where we have also used the  $U(n)$ -invariance of  $\nu_n$ . Since  $f_k$  is continuous we can apply the previous result getting  $\|f_k \circ g^{-1} - f_k\|_2 < \epsilon/3$  if  $g$  is sufficiently close to  $I$ .  $\square$

We are in a position to define the notion of spherical harmonics we shall use in the rest of the paper. If,  $p, q = 0, 1, 2, \dots$ ,  $\mathcal{P}^{p,q}$  denotes the set of polynomials  $h : \mathbb{S}^{2n-1} \rightarrow \mathbb{C}$  such that  $h(\alpha z_1, \dots, \alpha z_n) = \alpha^p \bar{\alpha}^q h(z_1, \dots, z_n)$  for all  $\alpha \in \mathbb{C}$ . The standard Laplacian  $\Delta_{2n}$  on  $\mathbb{R}^{2n}$  can be applied to the elements of  $\mathcal{P}^{p,q}$  in terms of decomplexified  $\mathbb{C}^n$ . Now, we have the following known result (see Theorems 12.2.3, 12.2.7 in [Rud86] and theorem 1.3 in [JW77]):

**Theorem 5** *If  $\mathcal{H}_{(p,q)}^n := \text{Ker} \Delta_{2n}|_{\mathcal{P}^{p,q}}$ , the following facts hold.*

(a) *The orthogonal decomposition is valid, each  $\mathcal{H}_{(p,q)}^n$  being finite-dimensional and closed:*

$$L^2(\mathbb{S}^{2n-1}, d\nu_n) = \bigoplus_{p,q=0}^{+\infty} \mathcal{H}_{(p,q)}^n. \quad (4)$$

(b) *Every  $\mathcal{H}_{(p,q)}^n$  is invariant and irreducible under the representation (3) of  $U(n)$ , so that the said representation correspondingly decomposes as*

$$D_n(g) = \bigoplus_{p,q=0}^{+\infty} D_n^{(p,q)}(g) \quad \text{with } D_n^{(p,q)}(g) := D_n(g)|_{\mathcal{H}_{(p,q)}^n}.$$

(c) *If  $(p, q) \neq (r, s)$  the irreducible representations  $D_n^{p,q}$  and  $D_n^{r,s}$  are unitarily inequivalent: no unitary operator  $U : \mathcal{H}_{(p,q)}^n \rightarrow \mathcal{H}_{(r,s)}^n$  exists such that  $UD_n^{(p,q)}(g) = D_n^{(r,s)}(g)U$  for every  $g \in U(n)$ .*

**Definition 6** *For  $j \equiv (p, q)$ , with  $p, q = 0, 1, 2, \dots$ , the **generalized complex spherical harmonics** of order  $j$  are the elements of  $\mathcal{H}_{(p,q)}^n$ .*

A useful technical lemma is the following.

**Lemma 7** *For  $n \geq 3$ ,  $\mathcal{H}_{(1,1)}^n$  is made of the restrictions to  $\mathbb{S}^{2n-1}$  of the polynomials  $h^{(1,1)}(z, \bar{z}) = \bar{z}^t A z$ ,  $A$  being any traceless  $n \times n$  matrix and  $z \in \mathbb{C}^n$ .*

*Proof.*  $h^{(1,1)}$  is of first-degree in each variables so  $h^{(1,1)}(z, \bar{z}) = \bar{z}^t A z$  for some  $n \times n$  matrix  $A$ .  $\Delta_{2n} h^{(1,1)} = 0$  is equivalent to  $\text{tr} A = 0$  as one verifies by direct inspection.  $\square$

For  $n \geq 3$ , there is a special class of spherical harmonics in  $\mathcal{H}_j^n$  that are parametrized by elements  $t \in \mathbb{S}^{2n-1}$  [Wat00].

**Definition 8** *For  $n \geq 3$ , the **zonal spherical harmonics** are elements of  $\mathcal{H}_j^n$  defined, for every  $t \in \mathbb{C}^n$ , as*

$$F_{n,t}^j(u) := R_j^n(\bar{u}^t \cdot t) \quad \forall u \in \mathbb{S}^{2n-1}, \quad (5)$$

where the polynomials  $R_j^n(z)$  have the generating function

$$(1 - \xi z - \eta \bar{z} + \xi \eta)^{1-n} = \sum_{p,q=0}^{+\infty} R_{p,q}^n(z) \xi^p \eta^q \quad (6)$$

with  $|z| \leq 1$ ,  $|\eta| < 1$ ,  $|\xi| < 1$ .

These zonal spherical harmonics are a generalization of the eigenfunctions of orbital angular momentum with  $L_z$ -eigenvalue  $m = 0$ . From (6) we get two identities useful later:

$$\begin{aligned} p!q!R_{p,q}^n(1) &= (-1)^{p+q}(n-1)n(n+1) \cdots (n+p-2)(n-1)n(n+1) \cdots (n+q-2), \\ p!q!R_{p,q}^n(0) &= (-1)^p \delta_{pq} p!(n-1)n(n+1) \cdots (n+p-2). \end{aligned} \quad (7)$$

### 3 Generalized complex Harmonics and Frame Functions

To prove our main statement in the next section we need the following preliminary technical result that relies on the technology presented in Chapter 7 of [BR00].

**Proposition 9** *If  $f \in \mathcal{L}^2(\mathbb{S}^{2n-1}, d\nu_n)$ , each projection  $f_j$  on  $\mathcal{H}_j^n$  verifies,  $\mu$  being the Haar measure on  $U(n)$  normalized to  $\mu(U(n)) = 1$ :*

$$f_j(u) = \dim(\mathcal{H}_j^n) \int_{U(n)} \text{tr}(\overline{D^j(g)}) f(g^{-1}u) d\mu(g) \quad \text{a.e. in } u \text{ with respect to } \nu_n, \quad (8)$$

where the right-hand side is a continuous function of  $u \in \mathbb{S}^{2n-1}$ .

If  $f \in \mathcal{L}^2(\mathbb{S}^{2n-1}, d\nu_n)$  is a frame function, then  $f_j$  (possibly re-defined on a zero-measure set in order to be continuous) is a frame function as well with  $W_{f_j} = 0$  when  $j \neq (0, 0)$ .

*Proof.* First of all notice that, if  $f \in \mathcal{L}^2(\mathbb{S}^{2n-1}, d\nu_n)$ , the right-hand side of (8) is well defined and continuous as we go to prove.  $U(n) \ni g \mapsto \text{tr}(\overline{D^j(g)})$  is continuous – and thus bounded since  $U(n)$  is compact – in view of lemma 4 and  $\dim(\mathcal{H}_j^n)$  is finite for theorem 5. Furthermore, for almost all  $u \in \mathbb{S}^{2n-1}$  the map  $U(n) \ni g \mapsto f(g^{-1}u)$  is  $\mathcal{L}^2(U(n), d\mu)$  – and thus  $\mathcal{L}^1(U(n), d\mu)$  because the measure is finite – as follows by Fubini-Tonelli theorem and the invariance of  $\nu_n$  under  $U(n)$ , it being

$$\int_{U(n)} d\mu(g) \int_{\mathbb{S}^{2n-1}} |f(g^{-1}u)|^2 d\nu_n(u) = \int_{U(n)} d\mu(g) \int_{\mathbb{S}^{2n-1}} |f(u)|^2 d\nu_n(u) = \mu(U(n)) \|f\|_2^2 < +\infty.$$

Consequently, in view of the fact that  $\mu$  is invariant, and  $U(n)$  transitively acts on  $\mathbb{S}^{2n-1}$ , the map  $U(n) \ni g \mapsto f(g^{-1}u)$  is  $\mathcal{L}^2(U(n), d\mu)$  (and thus  $\mathcal{L}^1(U(n), d\mu)$ ) for all  $u \in \mathbb{S}^{2n-1}$ . Continuity in  $u$  of the right-hand side of (8) can be proved as follows. Let  $u_0 = [I] \in \mathbb{S}^{2n-1} \equiv U(n)/U(n-1)$ . Since  $U(n)$  and  $U(n-1)$  are Lie groups, for any fixed  $u_1 \in \mathbb{S}^{2n-1}$  there is an open neighbourhood  $W_{u_1}$  of  $u_1$  and a smooth map  $W_{u_1} \ni u \mapsto g_u \in U(n)$  such that  $[g_u] = u$  (Theorem 3.58 in [War83]). As a consequence  $g_u u_0 = [g_u I] = [g_u] = u$ . Therefore, using the invariance of the Haar measure and for  $u = g_u u_0 \in W_{u_1}$ :

$$\int_{U(n)} \text{tr}(\overline{D^j(g)}) f(g^{-1}u) d\mu(g) = \int_{U(n)} \text{tr}(\overline{D^j(g_u g)}) f(g^{-1}u_0) d\mu(g).$$

Since  $W_{u_1} \times U(n) \ni (u, g) \mapsto \text{tr}(\overline{D^j(g_u g)})$  is continuous due to lemma 4, the measure is finite and  $g \mapsto f(g^{-1}u_0)$  is integrable, Lebesgue dominated convergence theorem implies that, as said above,  $W_{u_1} \ni u \mapsto \int_{U(n)} \text{tr}(\overline{D^j(g_u g)}) f(g^{-1}u_0) d\mu(g)$  is continuous in  $u_1$  and thus everywhere on  $\mathbb{S}^{2n-1}$  since  $u_1$  is arbitrary.

Let us pass to prove (8) for  $f$  containing a finite number of components. So  $F$  is finite,  $f \in \mathcal{L}^2(\mathbb{S}^{2n-1}, d\nu_n)$  and:

$$f(u) = \sum_{j \in F} f_j(u) = \sum_{j \in F} \sum_{m=1}^{\dim(\mathcal{H}_j^n)} f_m^j Z_m^j(u), \quad f_m^j \in \mathbb{C}$$

where  $\{Z_m^j\}_{m=1, \dots, \dim(\mathcal{H}_j^n)}$  is an orthonormal basis of  $\mathcal{H}_j^n$ , with  $Z_n^{(0,0)} = 1$ , made of continuous functions (it exists in view of the fact that  $\mathcal{P}^{p,q}$  is a space of polynomials and exploiting Gramm-Schmidt's procedure). Then

$$\overline{D_{m_0 m'_0}^{j_0}}(g) f(g^{-1}u) = \sum_{j \in F} \sum_{m, m'} \overline{D_{m_0 m'_0}^{j_0}}(g) D_{mm'}^j(g) f_m^j Z_m^j(u).$$

In view of (c) of theorem 5 and Peter-Weyl theorem, taking the integral over  $g$  with respect to the Haar measure on  $U(n)$  one has:

$$\int \overline{D_{m_0 m'_0}^{j_0}}(g) f(g^{-1}u) d\mu(g) = \dim(\mathcal{H}_{j_0}^n) f_{m'_0}^{j_0} Z_{m_0}^{j_0}(u),$$

that implies (8) when taking the trace, that is summing over  $m_0 = m'_0$ . To finish with the first part, let us generalize the obtained formula to the case of  $F$  infinite. In the following  $P_j : L^2(\mathbb{S}^{2n-1}, \nu_n) \rightarrow L^2(\mathbb{S}^{2n-1}, \nu_n)$  is the orthogonal projector onto  $\mathcal{H}_j^n$ . The convergence in the norm  $\|\cdot\|_2$  implies that in the norm  $\|\cdot\|_1$ , since  $\nu_n(\mathbb{S}^{2n-1}) < +\infty$ . So if  $h_m \rightarrow f$  in the norm  $\|\cdot\|_2$ , as  $P_j$  is bounded:

$$\lim_{m \rightarrow +\infty} {}^{(1)}P_j h_m = \lim_{m \rightarrow +\infty} {}^{(2)}P_j h_m = P_j \left( \lim_{m \rightarrow +\infty} {}^{(2)}h_m \right) = P_j f.$$

We specialize to the case  $h_m = \sum_{(p,q)=(0,0)}^{p+q=m} f_{(p,q)}$  so that  $h_m \rightarrow f$  as  $m \rightarrow +\infty$  in the norm  $\|\cdot\|_2$ . As every  $h_m$  has a finite number of harmonic components the identity above yields:

$$\dim(\mathcal{H}_j^n) \lim_{m \rightarrow +\infty} {}^{(1)} \int_{U(n)} \text{tr}(\overline{D^j(g)}) h_m(g^{-1}u) d\mu(g) = P_j f =: f_j.$$

Now notice that, as  $U(n) \ni g \mapsto \text{tr}(\overline{D^j(g)})$  is bounded on  $U(n)$  by some  $K < +\infty$ :

$$\left\| \int_{U(n)} \text{tr}(\overline{D^j(g)}) h_m(g^{-1}u) d\mu(g) - \int_{U(n)} \text{tr}(\overline{D^j(g)}) f(g^{-1}u) d\mu(g) \right\|_1$$

$$\begin{aligned}
&\leq K \int_{\mathbb{S}^{2n-1}} d\nu(u) \int_{U(n)} d\mu(g) |h_m(g^{-1}u) - f(g^{-1}u)| \\
&= K \int_{U(n)} d\mu(g) \int_{\mathbb{S}^{2n-1}} d\nu(u) |h_m(g^{-1}u) - f(g^{-1}u)| \\
&= K \int_{U(n)} d\mu(g) \int_{\mathbb{S}^{2n-1}} d\nu(u) |h_m(u) - f(u)| = K\mu(U(n))\|h_m - f\|_1 \rightarrow 0.
\end{aligned}$$

We have found that, as desired, (8) holds for  $f$ , because

$$\left\| f_j - \dim(\mathcal{H}_j^n) \int_{U(n)} \text{tr}(\overline{D^j(g)}) f(g^{-1}u) d\mu(g) \right\|_1 = 0.$$

To prove the last statement, assume  $j \neq (0,0)$  otherwise the thesis is trivial (since  $f_{(0,0)}$  is a constant function). We notice that, when  $f_j$  is taken to be continuous (and it can be done in a unique way in view of lemma 3, referring to the Hilbert basis of continuous functions  $Z_m^j$  as before), the identity (8) must be true for every  $u \in \mathbb{S}^{2n}$ . Therefore, if  $e_1, e_2, \dots, e_n$  is any Hilbert basis of  $\mathbb{C}^n$

$$\frac{1}{\dim(\mathcal{H}_j^n)} \sum_k f_j(e_k) = \int_{U(n)} \text{tr}(\overline{D^j(g)}) \sum_k f(g^{-1}e_k) d\mu(g) = \int_{U(n)} \text{tr}(\overline{D^j(g)}) W_f d\mu(g) = 0$$

because  $W_f$  is a constant and thus it is proportional to  $1 = D^{(0,0)}$  which, in turn, is orthogonal to  $D_{mm'}^j$  for  $j \neq (0,0)$  in view of Peter-Weyl theorem and (c) of theorem 5.  $\square$

#### 4 The main result

If  $\mathcal{H}$  is a finite-dimensional complex Hilbert space  $\mathcal{H}$ , with  $\dim(\mathcal{H}) = n \geq 3$ , there is only a regular Borel measure,  $\nu_{\mathcal{H}}$ , on  $\mathbb{S}(\mathcal{H})$  which is left-invariant under the natural action of every unitary operator  $U : \mathcal{H} \rightarrow \mathcal{H}$  and  $\nu_{\mathcal{H}}(\mathbb{S}(\mathcal{H})) = 1$ . It is the  $U(n)$ -invariant measure  $\nu_n$  induced by any identification of  $\mathcal{H}$  with a corresponding  $\mathbb{C}^n$  obtained by fixing an orthonormal basis in  $\mathcal{H}$ . The uniqueness of  $\nu_{\mathcal{H}}$  is due to the fact that different orthonormal bases are connected by means of transformations in  $U(n)$ .

**Theorem 10** *If  $f : \mathbb{S}(\mathcal{H}) \rightarrow \mathbb{C}$  is a frame function on a finite-dimensional complex Hilbert space  $\mathcal{H}$ , with  $\dim(\mathcal{H}) \geq 3$  and  $f \in \mathcal{L}^2(\mathbb{S}(\mathcal{H}), d\nu_{\mathcal{H}})$ , then there is a unique linear operator  $A : \mathcal{H} \rightarrow \mathcal{H}$  such that:*

$$f(z) = \langle z | Az \rangle \quad \forall z \in \mathbb{S}(\mathcal{H}), \tag{9}$$

where  $\langle | \rangle$  is the inner product in  $\mathcal{H}$ .  $A$  turns out to be Hermitean if  $f$  is real.

**Remark.** Since  $\nu_{\mathcal{H}}$  is finite,  $f \in \mathcal{L}^2(\mathbb{S}(\mathcal{H}), d\nu_{\mathcal{H}})$  holds in particular when  $f \in \mathcal{L}^p(\mathbb{S}(\mathcal{H}), d\nu_{\mathcal{H}})$  for some  $p \in [2, +\infty]$ , as a classic result based on Jensen's inequality.

*Proof.* We start from the uniqueness issue. Let  $B$  be another operator satisfying the thesis, so that  $\langle z|(A - B)z \rangle = 0 \ \forall z \in \mathcal{H}$ . Choosing  $z = x + y$  and then  $z = x + iy$  one finds  $\langle x|(A - B)y \rangle = 0$  for every  $x, y \in \mathcal{H}$ , that is  $A = B$ . We pass to the existence of  $A$  identifying  $\mathcal{H}$  to  $\mathbb{C}^n$  by means of an orthonormal basis  $\{e_k\}_{k=1,\dots,n} \subset \mathcal{H}$ . As  $f \in \mathcal{L}^2(\mathbb{S}^{2n-1}, d\nu_n)$ ,  $f$  can be decomposed as:  $f = \sum_j f_j$  with  $f_j \in \mathcal{H}_j^n$ . Lemma 9 implies that, if  $g \in U(n)$ :

$$\sum_{k=1}^n (D^j(g)f_j)(e_k) = \sum_{k=1}^n f_j(g^{-1}e_k) = 0 \quad \text{if } j \neq (0,0) \quad (10)$$

Assuming  $f_j \neq 0$ , since the representation  $D^j$  is irreducible, the subspace of  $\mathcal{H}_j^n$  spanned by all the vectors  $D^j(g)f_j \in \mathcal{H}_j^n$  is dense in  $\mathcal{H}_j^n$  when  $g$  ranges in  $U(n)$ . As  $\mathcal{H}_j^n$  is finite-dimensional, the dense subspace is  $\mathcal{H}_j^n$  itself. So it must be  $\sum_{k=1}^n Z(e_k) = 0$  for every  $Z \in \mathcal{H}_j^n$ . In particular it holds for the zonal spherical harmonic  $F_{n,e_1}^j$  individuated by  $e_1$ :  $\sum_{k=1}^n F_{n,e_1}^j(e_k) = 0$ . By definition of zonal spherical harmonics the above expression can be written in these terms:  $R_{p,q}^n(1) + (n-1)R_{p,q}^n(0) = 0$ , and using relations (7):

$$\begin{aligned} (-1)^{p+q}(n-1)n(n+1) \cdots (n+p-2)(n-1)n(n+1) \cdots (n+q-2) = \\ = (-1)^p \delta_{pq} p! (n-1)^2 n(n+1) \cdots (n+p-2). \end{aligned} \quad (11)$$

(11) implies  $p = q$ . Indeed, if  $p \neq q$  the right hand side vanishes, while the left does not. Now, for  $n \geq 3$  and  $j \neq (0,0)$  we can write:

$$(n-1)^2 n^2 (n+1)^2 \cdots (n+p-2)^2 = (-1)^p p! (n-1)^2 n(n+1) \cdots (n+p-2). \quad (12)$$

The identity (12) is verified if and only if  $p = 1$ . In view of lemma 7, we know that the functions  $f_{(1,1)} \in \mathcal{H}_{(1,1)}^n$  have form  $f(x) = \langle x|A_0 x \rangle$  with  $\text{tr} A_0 = 0$ . We conclude that our frame function  $f$  can only have the form:

$$f(x) = c + f_{(1,1)}(x) = \langle x|cIx \rangle + \langle x|A_0 x \rangle = \langle x|Ax \rangle \quad x \in \mathbb{S}^{2n-1}.$$

If  $f$  is real valued  $\langle x|Ax \rangle = \overline{\langle x|Ax \rangle} = \langle x|A^*x \rangle$  and thus  $\langle x|(A - A^*)x \rangle = 0$ . Exploiting the same argument as that used in the proof of the uniqueness, we conclude that  $A = A^*$ .  $\square$

## Acknowledgements

The authors are grateful to Alessandro Perotti for useful comments and suggestions.

## References

- [BR00] A.O. Barut and R. Raczka. *Theory of Group Representations and Applications*. World Scientific, Singapore, 2000
- [Dvu92] A. Dvurečenskij. *Gleason's theorem and its applications* (Kluwer academic publishers, 1992).



- [Gle57] A. M. Gleason. *Measures on the closed subspaces of a Hilbert space*, Journal of Mathematics and Mechanics, Vol.6, No.6, 885-893 (1957).
- [JW77] K. D. Johnson and N. R. Wallach. *Composition series and intertwining operators for spherical principal series I.*, Trans. Am. Math. Soc. **229**, 137-173 (1977) Volume 229, 1977.
- [Mak51] G. W. Mackey. *Induced representations of locally compact groups I*, The Annals of Mathematics, Second Series, Vol.55, No.1, 101-139 1951.
- [Rud80] W. Rudin *Function Theory in the Unit Ball of  $\mathbb{C}^n$*  (Springer, 1980)
- [Rud86] W. Rudin *Real and complex analysis* (McGrow-Hill Book Co. 1986).
- [War83] F.W. Warner. *Foundations of Differentiable Manifolds and Lie Groups*, Springer, Berlin, 1983
- [Wat00] S. Watanabe. *Spherical Harmonics on  $U(n)/U(n-1)$  and Associated Hilbert Spaces of Analytic Functions*, Tokyo J. Math **23**, 352-360 2000.